

# Dirichlet's Theorem on Arithmetic Progressions

MATH 300 HNR Foundations of Mathematics, Texas A&M University

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## Contents at a Glance

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Our goal is to prove the following theorem.

### Theorem 1.1

If  $\gcd(a, n) = 1$ , then there are infinitely many primes of the form on the arithmetic progression  $a + kn$ .

The proof is done by proving that  $\sum_{\substack{p \equiv a \pmod{d} \\ p \text{ prime}}} \frac{1}{p}$  diverges. It is sufficient to prove

the following since if there are finitely many primes of the form  $a + nd$ , then  $\sum_{\substack{p \equiv a \pmod{d} \\ p \text{ prime}}} \frac{1}{p}$  should converge.

To prove the following, we introduce a multiplicative function  $\chi : U_n \rightarrow \mathbb{C}$ . This is called a *Dirichlet character*.

### Definition 1.1: Dirichlet Character

A **Dirichlet character mod  $m$**  is a function,  $\chi : U_m \rightarrow \mathbb{C}$  that is not identically zero and satisfies  $\chi(ab) = \chi(a)\chi(b)$ .

This definition can be extended to all of  $\mathbb{Z}$  by letting  $\chi(a) = 0$  if  $\gcd(a, m) > 1$  and  $\chi(a + m) = \chi(a)$ . With Dirichlet characters, Riemann-Zeta functions, and Dirichlet  $L$ -functions, we will prove that  $\sum_{\substack{p \equiv a \pmod{d} \\ p \text{ prime}}} \frac{1}{p}$  diverges.

## 2

## The Riemann-Zeta Function

**Definition 2.1: Riemann-Zeta Function**

The **Riemann-Zeta function**  $\zeta : \mathbb{R} \rightarrow \mathbb{R}_{>0}$  is defined as

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}.$$

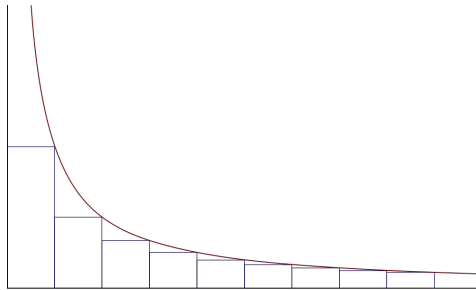
We now want to look at for which values of  $s$  the function is defined. That is, for which values of  $s$  the series converge. This can be found by the integral test.

**Lemma : Integral Test**

Suppose that  $f(x)$  is a continuous, positive, and decreasing function on the interval  $[k, \infty)$  for some  $k \in \mathbb{Z}$  and let  $f(n) = a_n$ . Then

$$\int_k^{\infty} f(x) dx \text{ converges if and only if } \sum_{n=k}^{\infty} a_n \text{ converges.}$$

*Proof.* Note that by shifting, it is sufficient to prove the case when  $k = 1$ .

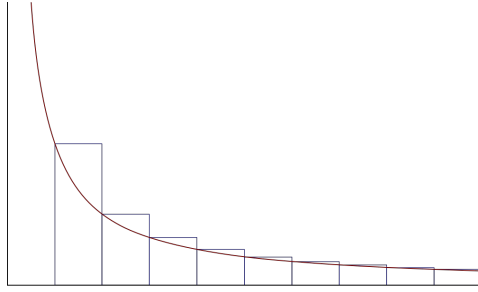


Let  $f(x)$  be the red curve. The integral  $\int_1^{\infty} f(x) dx$  is equal to the area between  $f(x)$  and the  $x$ -axis on the interval  $[1, \infty)$ , which is clearly greater than

$$\sum_{n=2}^{\infty} 1 \cdot a_n = 1 \cdot a_2 + 1 \cdot a_3 + 1 \cdot a_4 + \cdots.$$

Furthermore, the area is less than

$$\sum_{n=1}^{\infty} 1 \cdot a_n = 1 \cdot a_1 + 1 \cdot a_2 + 1 \cdot a_3 + \cdots.$$



If  $\int_1^{\infty} f(x) dx$  diverges, then

$$\sum_{n=1}^{\infty} a_n > \int_1^{\infty} f(x) dx$$

will also diverge, and if  $\int_1^{\infty} f(x) dx$  converge, then

$$\sum_{n=1}^{\infty} a_n = a_1 + \sum_{n=2}^{\infty} a_n < a_1 + \int_1^{\infty} f(x) dx$$

will also converge since  $a_1$  is finite. □

### Theorem 2.1

$\sum_{n=1}^{\infty} \frac{1}{n^s}$  converges if and only if  $s > 1$ . Thus, the domain of  $\zeta(s)$  is  $s > 1$ .

*Proof.* It is sufficient to prove that  $\sum_{n=1}^{\infty} \frac{1}{n}$  diverges and  $\sum_{n=1}^{\infty} \frac{1}{n^s}$  converges if  $s > 1$ .

We have

$$\begin{aligned} \sum_{n=1}^{\infty} \frac{1}{n} &= 1 + \frac{1}{2} + \left(\frac{1}{3} + \frac{1}{4}\right) + \left(\frac{1}{5} + \frac{1}{6} + \frac{1}{7} + \frac{1}{8}\right) + \cdots \\ &\geq 1 + \frac{1}{2} + \left(\frac{1}{4} + \frac{1}{4}\right) + \left(\frac{1}{8} + \frac{1}{8} + \frac{1}{8} + \frac{1}{8}\right) + \cdots \\ &= 1 + \frac{1}{2} + \frac{1}{2} + \frac{1}{2} + \cdots, \end{aligned}$$

which goes to infinity. For  $s > 1$ , if we let  $f(x) = x^{-s}$ ,

$$\int_1^{\infty} x^{-s} dx = \frac{1}{-s+1} x^{-s+1} \Big|_1^{\infty} = \frac{1}{s-1}.$$

Thus  $\sum_{n=1}^{\infty} \frac{1}{n^s}$  converges for  $s > 1$  by the integral test.  $\square$

### Lemma : Basel Problem

$$\zeta(2) = \frac{\pi^2}{6}.$$

*Proof.* We compute  $\zeta(2)$  by approximating  $\sin x$  as polynomials. The Taylor series of  $\sin x$  is

$$\sin x = \sum_{n=0}^{\infty} \frac{(-1)^k}{(2k+1)!} x^{2k+1} = x - \frac{x^3}{3!} + \frac{x^5}{5!} - \frac{x^7}{7!} + \dots.$$

We have another way to find a polynomial expression of  $\sin x$ . Since the roots of  $\sin x$  are  $\dots, -2\pi, -\pi, 0, \pi, 2\pi, \dots$ , we can express  $\sin x$  as

$$\sin x = ax(x-\pi)(x+\pi)(x-2\pi)(x+2\pi)\dots.$$

From the identity  $\lim_{x \rightarrow 0} \frac{\sin x}{x} = 1$ , we can find  $a$ , and

$$\begin{aligned} \sin x &= x \left(1 + \frac{x}{\pi}\right) \left(1 - \frac{x}{\pi}\right) \left(1 + \frac{x}{2\pi}\right) \left(1 - \frac{x}{2\pi}\right) \dots \\ &= x \left(1 - \frac{x^2}{\pi^2}\right) \left(1 - \frac{x^2}{4\pi^2}\right) \left(1 - \frac{x^2}{9\pi^2}\right) \dots. \end{aligned}$$

Therefore, we get

$$\sin x = x - \frac{x^3}{3!} + \frac{x^5}{5!} - \frac{x^7}{7!} + \dots = x \left(1 - \frac{x^2}{\pi^2}\right) \left(1 - \frac{x^2}{4\pi^2}\right) \left(1 - \frac{x^2}{9\pi^2}\right) \dots.$$

Comparing the coefficients of  $x^3$ , we get

$$-\frac{1}{3!} = -\frac{1}{\pi^2} - \frac{1}{4\pi^2} - \frac{1}{9\pi^2} = -\frac{1}{\pi^2} \left(1 + \frac{1}{2^2} + \frac{1}{3^2} + \dots\right).$$

So

$$\sum_{n=1}^{\infty} \frac{1}{n^2} = 1 + \frac{1}{2^2} + \frac{1}{3^2} + \dots = \frac{\pi^2}{6}. \quad \square$$

**Lemma**

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} = \prod_{p \text{ prime}} \left(1 - \frac{1}{p^s}\right)^{-1}.$$

*Proof.* Recall the sum of geometric series formula

$$1 + r + r^2 + \dots = \frac{1}{1 - r}$$

if  $|r| < 1$ . Since  $\left|\frac{1}{p^s}\right| < 1$ , we have

$$\begin{aligned} \prod_{p \text{ prime}} \left(1 - \frac{1}{p^s}\right)^{-1} &= \prod_{p \text{ prime}} \left(1 + \frac{1}{p^s} + \frac{1}{p^{2s}} + \dots\right) \\ &= \left(1 + \frac{1}{2^s} + \frac{1}{2^{2s}} + \dots\right) \left(1 + \frac{1}{3^s} + \frac{1}{3^{2s}} + \dots\right) \left(1 + \frac{1}{5^s} + \frac{1}{5^{2s}} + \dots\right) \dots \\ &= 1 + \frac{1}{2^s} + \frac{1}{3^s} + \frac{1}{4^s} + \dots \\ &= \sum_{n=1}^{\infty} \frac{1}{n^s}. \end{aligned}$$

where the second last line follows from the unique prime factorization of positive integers greater than 1.  $\square$

**Theorem 2.2**

$$\sum_{p \text{ prime}} \frac{1}{p} \text{ diverges.}$$

Before the proof, we note that if  $z$  is some complex number such that  $|z| < 1$ , then the Taylor series for  $-\log(1 - z)$  is

$$-\log(1 - z) = \sum_{n=1}^{\infty} \frac{z^n}{n} = z + \frac{z^2}{2} + \frac{z^3}{3} + \dots$$

where  $\log$  denotes the natural logarithm.

*Proof.* Note that  $\left|\frac{1}{p^s}\right| < 1$ . We have

$$\log \zeta(s) = \log \prod_{p \text{ prime}} \left(1 - \frac{1}{p^s}\right)^{-1}$$

$$\begin{aligned}
&= - \sum_{p \text{ prime}} \log \left( 1 - \frac{1}{p^s} \right) \\
&= \sum_{p \text{ prime}} \left( \frac{1}{p^s} + \frac{1}{2p^{2s}} + \frac{1}{3p^{3s}} + \cdots \right) \\
&= \sum_{p \text{ prime}} \frac{1}{p^s} + \sum_{p \text{ prime}} \sum_{n \geq 2} \frac{1}{np^{ns}}
\end{aligned}$$

**Claim.**  $\sum_{p \text{ prime}} \sum_{n \geq 2} \frac{1}{np^n}$  is bounded above by  $\frac{\pi^2}{6}$ .

Since

$$\begin{aligned}
\sum_{p \text{ prime}} \sum_{n \geq 2} \frac{1}{np^n} &= \sum_{p \text{ prime}} \frac{1}{2p^2} + \frac{1}{3p^3} + \frac{1}{4p^4} + \cdots \\
&\leq \sum_{p \text{ prime}} \frac{1}{p^2} + \frac{1}{p^3} + \frac{1}{p^4} + \cdots \\
&= \sum_{p \text{ prime}} \frac{1}{p^2} \left( \frac{1}{1 - \frac{1}{p}} \right) \\
&\leq \sum_{p \text{ prime}} \frac{1}{(p-1)^2} \\
&< \sum_{n \in \mathbb{N}} \frac{1}{n^2},
\end{aligned}$$

$\sum_{p \text{ prime}} \sum_{n \geq 2} \frac{1}{np^n}$  is bounded above by  $\frac{\pi^2}{6}$ . We also see that this is bounded below by 0 since all terms of the series is positive. Therefore,

$$\begin{aligned}
\sum_{p \text{ prime}} \frac{1}{p} &= \lim_{s \rightarrow 1^+} \sum_{p \text{ prime}} \frac{1}{p^s} \\
&= \lim_{s \rightarrow 1^+} \log \zeta(s) - \sum_{p \text{ prime}} \sum_{n \geq 2} \frac{1}{np^n} \\
&> \lim_{s \rightarrow 1^+} \log \zeta(s) - \frac{\pi^2}{6},
\end{aligned}$$

which diverges because  $\log \zeta(1)$  diverges. □

## 3

## Dirichlet Characters

**Definition 3.1: Dirichlet Character**

A **Dirichlet character mod  $m$**  is a function,  $\chi : U_m \rightarrow \mathbb{C}$  that is not identically zero and satisfies  $\chi(ab) = \chi(a)\chi(b)$ .

We extend  $\chi$  to all of  $\mathbb{Z}$  by letting  $\chi(a) = 0$  if  $\gcd(a, m) > 1$  and periodicity, i.e.  $\chi(a + m) = \chi(a)$ .

**Corollary**

Dirichlet characters are totally multiplicative. That is,  $\chi(ab) = \chi(a)\chi(b)$  for all  $a, b \in \mathbb{Z}$ .

For every Dirichlet character,  $\chi(1)$  should be 1. This is because

$$\chi(1)^2 = \chi(1^2) = \chi(1),$$

so  $\chi(1) = 0$  or 1. However, if  $\chi(1) = 0$ , then  $\chi$  is identically zero. Thus  $\chi(1) = 1$  for every Dirichlet character.

From now on, we use the notation  $\chi_0$  for the *principal character*, which is

$$\chi_0(a) = \begin{cases} 1 & \gcd(a, m) = 1 \\ 0 & \gcd(a, m) > 1 \end{cases}.$$

**Example 1**

There are exactly two Dirichlet characters mod 3:

$$\chi_0(a) = \begin{cases} 1 & a \equiv 1 \pmod{3} \\ 1 & a \equiv 2 \pmod{3} \\ 0 & a \equiv 0 \pmod{3} \end{cases} \quad \text{and} \quad \chi_1(a) = \begin{cases} 1 & a \equiv 1 \pmod{3} \\ -1 & a \equiv 2 \pmod{3} \\ 0 & a \equiv 0 \pmod{3} \end{cases}.$$

**Example 2**

There are exactly two Dirichlet characters mod 4:

$$\chi_0(a) = \begin{cases} 1 & a \equiv 1 \pmod{4} \\ 0 & a \equiv 2 \pmod{4} \\ 1 & a \equiv 3 \pmod{4} \\ 0 & a \equiv 0 \pmod{4} \end{cases} \quad \text{and} \quad \chi_1(a) = \begin{cases} 1 & a \equiv 1 \pmod{4} \\ 0 & a \equiv 2 \pmod{4} \\ -1 & a \equiv 3 \pmod{4} \\ 0 & a \equiv 0 \pmod{4} \end{cases}.$$

**Example 3**

There are exactly four Dirichlet characters mod 5:

$$\chi_0(a) = \begin{cases} 1 & a \equiv 1 \pmod{5} \\ 1 & a \equiv 2 \pmod{5} \\ 1 & a \equiv 3 \pmod{5} \\ 1 & a \equiv 4 \pmod{5} \\ 0 & a \equiv 0 \pmod{5} \end{cases}, \quad \chi_1(a) = \begin{cases} 1 & a \equiv 1 \pmod{5} \\ i & a \equiv 2 \pmod{5} \\ -i & a \equiv 3 \pmod{5} \\ -1 & a \equiv 4 \pmod{5} \\ 0 & a \equiv 0 \pmod{5} \end{cases}$$

$$\chi_2(a) = \begin{cases} 1 & a \equiv 1 \pmod{5} \\ -1 & a \equiv 2 \pmod{5} \\ -1 & a \equiv 3 \pmod{5} \\ 1 & a \equiv 4 \pmod{5} \\ 0 & a \equiv 0 \pmod{5} \end{cases}, \quad \chi_3(a) = \begin{cases} 1 & a \equiv 1 \pmod{5} \\ -i & a \equiv 2 \pmod{5} \\ i & a \equiv 3 \pmod{5} \\ -1 & a \equiv 4 \pmod{5} \\ 0 & a \equiv 0 \pmod{5} \end{cases}.$$

**Definition 3.2: Dirichlet  $L$ -function**

The **Dirichlet  $L$ -function** is defined as

$$L(s, \chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}.$$

**Lemma**

$$L(s, \chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s} = \prod_{p \text{ prime}} \left(1 - \frac{\chi(p)}{p^s}\right)^{-1}.$$

*Proof.* We have

$$\begin{aligned} \prod_{p \text{ prime}} \left(1 - \frac{\chi(p)}{p^s}\right)^{-1} &= \prod_{p \text{ prime}} \left(1 + \frac{\chi(p)}{p^s} + \frac{\chi(p)^2}{p^{2s}} + \dots\right) \\ &= \prod_{p \text{ prime}} \left(1 + \frac{\chi(p)}{p^s} + \frac{\chi(p^2)}{p^{2s}} + \dots\right) \\ &= \left(1 + \frac{\chi(2)}{2^s} + \frac{\chi(2^2)}{2^{2s}} + \dots\right) \left(1 + \frac{\chi(3)}{3^s} + \frac{\chi(3^2)}{3^{2s}} + \dots\right) \dots \\ &= 1 + \frac{\chi(2)}{2^s} + \frac{\chi(3)}{3^s} + \frac{\chi(2^2)}{4^s} + \frac{\chi(5)}{5^s} + \frac{\chi(2)\chi(3)}{6^s} + \dots \\ &= 1 + \frac{\chi(2)}{2^s} + \frac{\chi(3)}{3^s} + \frac{\chi(4)}{4^s} + \frac{\chi(5)}{5^s} + \frac{\chi(6)}{6^s} + \dots \end{aligned}$$



$$= \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}.$$

where the third last line follows from the unique prime factorization of positive integers greater than 1.  $\square$

**Theorem 3.1**

$$\sum_{\substack{p \equiv 1 \pmod{4} \\ p \text{ prime}}} \frac{1}{p} \text{ diverges.}$$

*Proof.* We consider the natural log of  $L(1, \chi)$ .

$$\begin{aligned} \log L(1, \chi) &= \log \prod_{p \text{ prime}} \left(1 - \frac{\chi(p)}{p}\right)^{-1} \\ &= \sum_{p \text{ prime}} -\log \left(1 - \frac{\chi(p)}{p}\right) \\ &= \sum_{p \text{ prime}} \left(\frac{\chi(p)}{p} + \frac{\chi(p^2)}{2p^2} + \frac{\chi(p^3)}{3p^3} + \dots\right) \\ &= \sum_{p \text{ prime}} \frac{\chi(p)}{p} + \sum_{p \text{ prime}} \sum_{n \geq 2} \frac{\chi(p^n)}{np^n} \end{aligned}$$

We see that

$$\left| \sum_{p \text{ prime}} \sum_{n \geq 2} \frac{\chi(p^n)}{np^n} \right| \leq \sum_{p \text{ prime}} \sum_{n \geq 2} \frac{|\chi(p^n)|}{np^n} \leq \sum_{p \text{ prime}} \sum_{n \geq 2} \frac{1}{np^n}$$

by the triangle inequality, so  $\sum_{p \text{ prime}} \sum_{n \geq 2} \frac{\chi(p^n)}{np^n}$  is bounded by  $-\frac{\pi^2}{6}$  and  $\frac{\pi^2}{6}$ . Then

$$\begin{aligned} \lim_{s \rightarrow 1^+} \log \zeta(s) + \log L(1, \chi) &= \sum_{p \text{ prime}} \frac{1}{p} + \sum_{p \text{ prime}} \sum_{n \geq 2} \frac{1}{np^n} \\ &\quad + \sum_{p \text{ prime}} \frac{\chi(p)}{p} + \sum_{p \text{ prime}} \sum_{n \geq 2} \frac{\chi(p^n)}{np^n} \\ &= 2 \sum_{\substack{p \equiv 1 \pmod{4} \\ p \text{ prime}}} \frac{1}{p} + c \end{aligned}$$

where  $c$  is a bounded constant. Since the left-hand side diverges, the right side

should also diverge, which implies that  $\sum_{\substack{p \equiv 1 \pmod{4} \\ p \text{ prime}}} \frac{1}{p}$  diverges.  $\square$

This is one of the proofs that there are infinitely many primes that are of the form  $1 + 4n$ . Similar argument can be used for primes of the form  $3 + 4n$ .

### Theorem 3.2

$$\sum_{\substack{p \equiv 3 \pmod{4} \\ p \text{ prime}}} \frac{1}{p} \text{ diverges.}$$

*Proof.*

**Claim.**  $\lim_{s \rightarrow 1^+} \log \zeta(s) - \log L(s, \chi)$  diverges.

It is sufficient to show that  $\lim_{s \rightarrow 1^+} \frac{\zeta(s)}{L(s, \chi)}$  diverges. We have

$$\begin{aligned} L(s, \chi) &= \sum_{n \equiv 1 \pmod{4}} \frac{1}{n^s} - \sum_{n \equiv 3 \pmod{4}} \frac{1}{n^s} \\ &= \zeta(s) - \sum_{2|n} \frac{1}{n^s} - 2 \sum_{n \equiv 3 \pmod{4}} \frac{1}{n^s} \\ &\geq \zeta(s) - \sum_{2|n} \frac{1}{n^s} - 2 \sum_{4|n} \frac{1}{n^s} \\ &= \left(1 - \frac{1}{2^s} - \frac{2}{4^s}\right) \zeta(s), \end{aligned}$$

so

$$\lim_{s \rightarrow 1^+} \frac{\zeta(s)}{L(s, \chi)} \geq \lim_{s \rightarrow 1^+} \frac{4^s}{4^s - 2^s - 2},$$

and this diverges. We have

$$\log \zeta(s) - \log L(s, \chi) = 2 \sum_{\substack{p \equiv 3 \pmod{4} \\ p \text{ prime}}} \frac{1}{p^s} + c,$$

where  $c$  is a bounded constant. Letting  $s \rightarrow 1^+$ , since the left hand side diverges,

$\sum_{\substack{p \equiv 3 \pmod{4} \\ p \text{ prime}}} \frac{1}{p}$  also diverges.  $\square$

## 4

## Generalizations

Denote  $\mathbb{D}_m$  as the set of all Dirichlet characters modulo  $m$ . Note that  $U_m$  forms a group under multiplication, and  $\mathbb{D}_m$  also forms a group under the binary operation  $(\chi_1 * \chi_2)(a) = \chi_1(a)\chi_2(a)$ .

**Theorem 4.1**

There are exactly  $\varphi(m)$  Dirichlet characters modulo  $m$ .

**Solution** Note that  $U_m$  has  $\varphi(m)$  elements.

**Claim.**  $U_m$  is isomorphic to  $\mathbb{D}_m$ .

Let  $m = 2^t p_1^{k_1} p_2^{k_2} \cdots p_s^{k_s}$ . Then,  $U_m = U_{2^t} \times U_{p_1^{k_1}} \times \cdots \times U_{p_s^{k_s}}$ .

- if  $t = 1$ , then  $U_2$  is generated by 1.
- if  $t = 2$ , then  $U_4$  is generated by 3.
- if  $t > 2$ , then since 3 has order  $2^{t-2}$ , and -1 is not a power of 3,  $U_{2^t}$  is generated by 3 and -1.

Also, we can find generators of each  $U_{p_i^{k_i}}$  because  $U_{p_1^{k_1}}, U_{p_2^{k_2}}, \dots, U_{p_s^{k_s}}$  are cyclic. Finally, the mapping

$$\begin{aligned} 3^a (-1)^b g_1^{l_1} g_2^{l_2} \cdots g_s^{l_s} &\mapsto \left( e^{\frac{2\pi i c}{\varphi(2^t)}}, e^{\frac{2\pi i l_1}{\varphi(p_1^{k_1})}}, e^{\frac{2\pi i l_2}{\varphi(p_2^{k_2})}}, \dots, e^{\frac{2\pi i l_s}{\varphi(p_s^{k_s})}} \right) \\ &\mapsto (\chi(c), \chi(g_1), \chi(g_2), \dots, \chi(g_s)) \\ &\mapsto \chi \end{aligned}$$

implies that  $U_m$  is isomorphic to  $\mathbb{D}_m$ .

Thus,  $U_m$  and  $\mathbb{D}_m$  has the same cardinality, which is  $\varphi(m)$ .

**Lemma**

If  $\chi$  is a Dirichlet character mod  $m$ , then  $\bar{\chi}$ , the function that takes conjugate values of  $\chi$ , is also a Dirichlet character.

*Proof.* We have

$$\bar{\chi}(ab) = \overline{\chi(ab)} = \overline{\chi(a)\chi(b)} = \overline{\chi(a)} \cdot \overline{\chi(b)} = \bar{\chi}(a)\bar{\chi}(b).$$

Also, if  $\gcd(a, m) > 1$ , then  $\bar{\chi}(a) = \bar{0} = 0$ , and since

$$\bar{\chi}(a+m) = \overline{\chi(a+m)} = \overline{\chi(a)} = \bar{\chi}(a),$$

$\bar{\chi}$  is also a Dirichlet character. □

**Theorem 4.2**

$L(1, \chi_0)$  diverges.

*Proof.* We see that

$$\begin{aligned}
 L(s, \chi_0) &= \prod_{p \text{ prime}} \left(1 - \frac{\chi_0(p)}{p^s}\right)^{-1} \\
 &= \prod_{p \nmid m} \left(1 - \frac{1}{p^s}\right)^{-1} \prod_{p|m} \left(1 - \frac{0}{p^s}\right)^{-1} \\
 &= \prod_{p \nmid m} \left(1 - \frac{1}{p^s}\right)^{-1} \\
 &= \prod_{p \nmid m} \left(1 - \frac{1}{p^s}\right)^{-1} \cdot \frac{\prod_{p|m} \left(1 - \frac{1}{p^s}\right)^{-1}}{\prod_{p|m} \left(1 - \frac{1}{p^s}\right)^{-1}} \\
 &= \prod_{p \text{ prime}} \left(1 - \frac{1}{p^s}\right)^{-1} \cdot \prod_{p|m} \left(1 - \frac{1}{p^s}\right) \\
 &= \zeta(s) \cdot \prod_{p|m} \left(1 - \frac{1}{p^s}\right).
 \end{aligned}$$

Here,  $\prod_{p|m} \left(1 - \frac{1}{p^s}\right)$  should be finite since there are finite prime divisors of  $m$ , thus it is a finite product of finite terms. Therefore

$$\lim_{s \rightarrow 1^+} L(s, \chi_0) = \infty$$

since  $\zeta(s)$  diverges as  $s \rightarrow 1^+$ . □

**Lemma**

$$\sum_{\chi \in \mathbb{D}_m} \chi(n) = \begin{cases} \varphi(m) & n \equiv 1 \pmod{m} \\ 0 & \text{otherwise.} \end{cases}$$

*Proof.* Take any character  $\psi$ . Then  $\chi(n) = \psi(n)\psi^{-1}(n)\chi(n)$ .

**Claim.**  $\psi^{-1}(n)\mathbb{D}_m = \{\psi^{-1}(n)\chi(n) \mid \chi \in \mathbb{D}_m\} = \mathbb{D}_m$ .

Note that the set  $\{\psi^{-1}(n)\chi(n) \mid \chi \in \mathbb{D}_m\}$  also forms a group under the binary operation of  $\mathbb{D}_m$ , with  $\psi$  the identity. If  $\psi^{-1} * \chi_1 = \psi^{-1} * \chi_2$ , then  $\chi_1 = \chi_2$  by the left cancellation property. This gives that the set  $\{\psi^{-1}(n)\chi(n) \mid \chi \in \mathbb{D}_m\}$  is equal to  $\mathbb{D}_m$ .

We have

$$\sum_{\chi \in \mathbb{D}_m} \chi(n) = \psi(n) \sum_{\chi \in \mathbb{D}_m} \psi^{-1}(n)\chi(n) = \psi(n) \sum_{\chi \in \mathbb{D}_m} \chi(n),$$

which implies that either  $\psi(n) \neq 1$  and  $\sum_{\chi \in \mathbb{D}_m} \chi(n) = 0$ , or  $\psi(n) = 1$  for all  $\psi \in \mathbb{D}_m$ .

If  $n \equiv 1 \pmod{m}$ , then  $\chi(n) = 1$  for all  $\chi \in \mathbb{D}_m$ . Thus

$$\sum_{\chi \in \mathbb{D}_m} \chi(n) = \sum_{\chi \in \mathbb{D}_m} 1 = \varphi(m).$$

**Claim.** If  $n \not\equiv 1 \pmod{m}$ , then there is some  $\chi \in \mathbb{D}_m$  such that  $\chi(n) \neq 1$ .

If  $n \not\equiv 1 \pmod{m}$ , then there exists  $l_1, l_2, \dots, l_r \neq 0$  such that  $n = g_1^{l_1} g_2^{l_2} \cdots g_r^{l_r}$ . Fix  $s \in \{1, 2, \dots, r\}$ , and take the character that has

$$\chi(g_j) = \begin{cases} 1 & j \neq s \\ e^{\frac{2\pi i}{\varphi(p_s^{k_s})}} & j = s \end{cases}$$

This gives  $\chi(n) \neq 1$ , and hence  $\sum_{\chi \in \mathbb{D}_m} \chi(n) = 0$ , as desired.  $\square$

#### Theorem 4.3

$$\sum_{\chi \in \mathbb{D}_m} \chi(a^{-1}n) = \begin{cases} \varphi(m) & n \equiv a \pmod{m} \\ 0 & \text{otherwise.} \end{cases}$$

*Proof.* If  $n \equiv a \pmod{m}$ , then  $a^{-1}n \equiv 1 \pmod{m}$ , so  $\sum_{\chi \in \mathbb{D}_m} \chi(a^{-1}n) = \varphi(m)$ . If

$n \not\equiv a \pmod{m}$ , then  $a^{-1}n \not\equiv 1 \pmod{m}$ , so  $\sum_{\chi \in \mathbb{D}_m} \chi(a^{-1}n) = 0$ .  $\square$

#### Theorem 4.4

If  $\chi$  is any Dirichlet character mod  $m$ , then  $L(1, \chi) \neq 0$ .

*Proof.* Recall that if  $\chi$  is a Dirichlet character, then  $\bar{\chi}$  is also a Dirichlet character. So  $L(s, \bar{\chi}) = \overline{L(s, \chi)} = \overline{L(s, \chi)}$ , which shows that the values of  $L(s, \chi)$  come in conjugate pairs and hence  $\prod_{\chi \in \mathbb{D}_m} L(s, \chi)$  is real. Since  $\log L(s, \chi) = \sum_{p \text{ prime}} \sum_{n=1}^{\infty} \frac{\chi(p^n)}{np^{ns}}$ , we have

$$\begin{aligned} \frac{1}{\varphi(m)} \sum_{\chi \in \mathbb{D}_m} \log L(s, \chi) &= \frac{1}{\varphi(m)} \sum_{\chi \in \mathbb{D}_m} \sum_{p \text{ prime}} \sum_{n=1}^{\infty} \frac{\chi(p^n)}{np^{ns}} \\ &= \sum_{\substack{p^n \equiv 1 \\ p \text{ prime}}} \sum_{(\text{mod } m)} \sum_{n=1}^{\infty} \frac{1}{np^{ns}} \end{aligned}$$

If we set  $s$  real and  $s > 1$ , then since the right hand side is real and nonnegative, the left hand side should also be real nonnegative. This gives

$$\sum_{\chi \in \mathbb{D}_m} \log L(s, \chi) \geq 0$$

and thus

$$\prod_{\chi \in \mathbb{D}_m} L(s, \chi) \geq 1.$$

Therefore  $L(s, \chi) \neq 0$ , and setting  $s \rightarrow 1^+$  gives  $L(1, \chi) \neq 0$ .  $\square$

Note that the proof is actually not complete: to finish the proof with stating that  $L(s, \chi) \neq 0$  as  $s \rightarrow 1^+$ , we need to use the fact that the function  $L(s, \chi)$  has a meromorphic continuation to  $\{z \in \mathbb{C} \mid \Re(z) \geq 0\}$ , with one simple pole at  $s = 1$ . This part is omitted since it is out of our boundary to prove this fact.

#### Theorem 4.5

$$\sum_{\substack{p \equiv a \pmod{m} \\ p \text{ prime}}} \frac{1}{p} \text{ diverges.}$$

*Proof.* We have

$$\begin{aligned} \sum_{\substack{p \equiv a \pmod{m} \\ p \text{ prime}}} \frac{1}{p} &= \frac{1}{\varphi(m)} \sum_{p \text{ prime}} \sum_{x \in \mathbb{D}_m} \frac{\chi(a^{-1}p)}{p} \\ &= \frac{1}{\varphi(m)} \sum_{x \in \mathbb{D}_m} \sum_{p \text{ prime}} \frac{\chi(a^{-1}p)}{p} \\ &= \frac{1}{\varphi(m)} \sum_{x \in \mathbb{D}_m} \chi(a^{-1}) \sum_{p \text{ prime}} \frac{\chi(p)}{p}. \end{aligned}$$

Recall that  $\log L(s, \chi) = \sum_{p \text{ prime}} \frac{\chi(p)}{p^s} + \sum_{p \text{ prime}} \sum_{n=2}^{\infty} \frac{\chi(p^n)}{np^{ns}}$ , where  $\sum_{p \text{ prime}} \sum_{n=2}^{\infty} \frac{\chi(p^n)}{np^{ns}}$  is bounded by  $-\frac{\pi^2}{6}$  and  $\frac{\pi^2}{6}$ . This tells us that

$$\begin{aligned}
& \frac{1}{\varphi(m)} \sum_{\chi \in \mathbb{D}_m} \chi(a^{-1}) \log L(1, \chi) \\
&= \frac{1}{\varphi(m)} \sum_{\chi \in \mathbb{D}_m} \chi(a^{-1}) \left( \sum_{p \text{ prime}} \frac{\chi(p)}{p} + \sum_{p \text{ prime}} \sum_{n=2}^{\infty} \frac{\chi(p^n)}{np^n} \right) \\
&= \frac{1}{\varphi(m)} \sum_{\chi \in \mathbb{D}_m} \sum_{p \text{ prime}} \frac{\chi(a^{-1}p)}{p} + \frac{1}{\varphi(m)} \sum_{\chi \in \mathbb{D}_m} \chi(a^{-1}) \sum_{p \text{ prime}} \sum_{n=2}^{\infty} \frac{\chi(p^n)}{np^n} \\
&= \sum_{\substack{p \equiv a \pmod{m} \\ p \text{ prime}}} \frac{1}{p} + \frac{1}{\varphi(m)} \sum_{\chi \in \mathbb{D}_m} \chi(a^{-1}) \sum_{p \text{ prime}} \sum_{n=2}^{\infty} \frac{\chi(p^n)}{np^n} \\
&= \sum_{\substack{p \equiv a \pmod{m} \\ p \text{ prime}}} \frac{1}{p} + c
\end{aligned}$$

where  $c$  is bounded since it is a finite sum of bounded terms. Thus

$$\begin{aligned}
\sum_{\substack{p \equiv a \pmod{m} \\ p \text{ prime}}} \frac{1}{p} &= \frac{1}{\varphi(m)} \sum_{\chi \in \mathbb{D}_m} \chi(a^{-1}) \log L(1, \chi) - c \\
&= \frac{1}{\varphi(m)} \log L(1, \chi_0) + \frac{1}{\varphi(m)} \sum_{\chi \in \mathbb{D}_m \setminus \{\chi_0\}} \chi(a^{-1}) \log L(1, \chi) - c,
\end{aligned}$$

and  $\sum_{\substack{p \equiv a \pmod{m} \\ p \text{ prime}}} \frac{1}{p}$  would diverge to infinity unless  $\frac{1}{\varphi(m)} \sum_{\chi \in \mathbb{D}_m \setminus \{\chi_0\}} \chi(a^{-1}) \log L(1, \chi)$

is real and diverges to negative infinity.

**Claim.**  $\sum_{\chi \in \mathbb{D}_m \setminus \{\chi_0\}} \chi(a^{-1}) \log L(1, \chi)$  is real and does not diverge to negative infinity.

Let  $\mathbb{D}_{m, \mathbb{R}}$  be the set of nontrivial Dirichlet characters mod  $m$  that has only real values, and  $\mathbb{D}_{m, \mathbb{C}}$  the set of Dirichlet characters mod  $m$  that has complex values. Then

$$\sum_{\chi \in \mathbb{D}_m \setminus \{\chi_0\}} \chi(a^{-1}) \log L(1, \chi) = \sum_{\chi \in \mathbb{D}_{m, \mathbb{R}}} \chi(a^{-1}) \log L(1, \chi) + \sum_{\chi \in \mathbb{D}_{m, \mathbb{C}}} \chi(a^{-1}) \log L(1, \chi).$$

Here,  $\sum_{\chi \in \mathbb{D}_{m,\mathbb{R}}} \chi(a^{-1}) \log L(1, \chi)$  does not diverge to negative infinity since  $L(1, \chi) \neq 0$  for all  $\chi \in \mathbb{D}_{m,\mathbb{R}}$ . For complex values, recall that if  $\chi$  is a Dirichlet character, then  $\bar{\chi}$  is also a Dirichlet character. So

$$\bar{\chi}(a^{-1}) \log L(1, \bar{\chi}) = \overline{\chi(a^{-1}) \cdot \log L(1, \chi)} = \overline{\chi(a^{-1}) \log L(1, \chi)}.$$

This tells that the values of  $\chi(a^{-1}) \log L(1, \chi)$  come in conjugate pairs, and hence  $\sum_{\chi \in \mathbb{D}_{m,\mathbb{C}}} \chi(a^{-1}) \log L(1, \chi)$  is real. Since  $L(1, \chi) \neq 0$ ,  $\sum_{\chi \in \mathbb{D}_{m,\mathbb{C}}} \chi(a^{-1}) \log L(1, \chi)$  does not diverge to negative infinity. Thus

$$\sum_{\chi \in \mathbb{D}_m \setminus \{\chi_0\}} \chi(a^{-1}) \log L(1, \chi) = \sum_{\chi \in \mathbb{D}_{m,\mathbb{R}}} \chi(a^{-1}) \log L(1, \chi) + \sum_{\chi \in \mathbb{D}_{m,\mathbb{C}}} \chi(a^{-1}) \log L(1, \chi)$$

is real which does not diverge to negative infinity. Therefore, in the formula

$$\sum_{\substack{p \equiv a \pmod{m} \\ p \text{ prime}}} \frac{1}{p} = \frac{1}{\varphi(m)} \chi_0(a^{-1}) \log L(1, \chi_0) + \frac{1}{\varphi(m)} \sum_{\chi \in \mathbb{D}_m \setminus \{\chi_0\}} \chi(a^{-1}) \log L(1, \chi) - c,$$

the right hand side is real and diverges to positive infinity, so the left hand side should also diverge to positive infinity, which completes the proof.  $\square$

This gives us the Dirichlet's theorem, as desired.

### Corollary : Dirichlet's Theorem on Arithmetic Progressions

If  $\gcd(a, m) = 1$ , then there are infinitely many primes in the arithmetic progression  $a + km$ .

## 5

### Citations

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